

Baker, Prof R.

From: [Code A]
Sent: 23 September 2002 10:22
To: [Code A]
Cc: [Code A]
Subject: RE: Caldicott Guardian

It depends a lot on who is asking you to get CG agreement or support and in what form, will they require all the organisations involved in terms of legal duties or are they just requiring you to get advice? The person you suggest seems a reasonable first point of call, particularly if the data is being supplied via the health authority in question. They may also be able to advise you in this regard. What I'd recommend doing is establishing whether that lead in the district in question is an advisor or the CG themselves.

The issue of you holding the data in Leicester will be an issue for the data protection coverage at the University rather than the NHS (once all the NHS approvals from the supplying authorities are in place) unless you are obtaining any info. from Leicestershire NHS Trusts.

I hope you don't mind but I've copied Lisa Wingfield into this email. Lisa is Caldicott Co-ordinator for our district who I am sure can provide better advice on Caldicott and Data Protection than I can. [Lisa if you have any more to add on the subject or you think I've misrepresented anything could you let Richard/ myself know by email. The detail of his initial enquiry is contained below].

Alison

-----Original Message-----

From: Baker, Prof R. [mailto:[Code A]]
Sent: 23 September 2002 09:50
To: Cooper Alison
Subject: RE: Caldicott Guardian

The project is being done in another district, although I will hold some data here in Leicester. There is a local Caldicott lead at the health authority in question - would this suffice, or should I find one locally? The data are either primary care or community hospitals.

Richard

-----Original Message-----

From: [Code A]
[mailto:[Code A]]
Sent: 23 September 2002 09:24
To: [Code A]
Subject: RE: Caldicott Guardian

Richard

Is it only acute hospital stats? If yes, then it would be the Cal.G for UHL or the respective hospitals involved. Nichola Seare may be able to advise you of this person. I think it will be very much governed by the source of the info rather than your link with primary care.

For the primary care side of things for research we are investigating having a lead Cal.G role for the Alliance, but this needs to be agreed with both the PCTs (as part of the policy we were discussing at the last steering committee). However this yet to go through the various boards and we have not as yet had chance to discuss this with the individual we would hope to lead on these issues.

Hope this is helpful

Alison

-----Original Message-----

From: Baker, Prof R. [mailto:

Code A

Sent: 20 September 2002 16:19

To: Cooper Alison

Subject: RE: Caldicott Guardian

Alison

I am doing a piece of delicate work for the DOH, that involves the analysis of data from hospital episode statistics. To obtain approval for access to the data, I need to state who is my local Caldicott Guardian. Can you advise me on who I should indicate? Is there someone who has a specific link with the Alliance who should be mentioned, or since the funding stream for the Clinical Governance R&D Unit comes through East Leicester PCT, would the East Leicester PCT Caldicott Guardian be the one to mention, and if so, who is it?

It would really help if you could get back to me soon on this.

Thanks.

Richard